# The ascent lattice on Dyck paths

with Jean-Luc Baril, Sergey Kirgizov (LIB, Dijon) and Mehdi Naima (LIP6, Paris)



arXiv:2409.15982

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- Orders on Dyck paths --- The ascent order
- → Lattice properties (the Nadeau-Tewari lattice)
- → Counting intervals
- → m-Dyck paths, and mirrored m-Dyck paths
- → Connection with the sylvester congruence [Hivert, Novelli, Thibon 05]



# Dyck paths

• A Dyck path of size n=8 (size=number of up steps)



#### UUDDUUUDUUDDDDD

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- Graded (by area)

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# The ascent poset (or: greedy Stanley?)

- A poset on Dyck paths with n up steps
- Cover relations: choose a valley in the path u.
  Swap the down step and the ascent that follows. (the path moves up)



[Chenevière, Nadeau...]

# Ascent posets: n = 3, 4



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## Poset inclusion



## A characterization of the ascent order

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#### Applications:

- lattice structure
- recursive construction of intervals



(Each pair of elements has a sup and an inf)

**Def.** Let  $u=(u_1, ..., u_n)$  and  $v=(v_1, ..., v_n)$  be two nonincreasing sequences of integers. Then  $u \leq v$  for the NT order if

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[2024]

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**Observation:** Dyck paths of size n can be encoded by nonincreasing sequences of length n, and then the ascent order coincides with the NT order.



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These sequences form an interval with  $u_{min} = (n, n-1, ..., 1)$  and  $u_{max} = (n, n, ..., n)$ .



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**Meet(P,Q)**: the highest Dyck path below P and Q whose descents contain those of P and Q.



# III. The number of intervals

Interval  $[P,Q] \sim (P,Q)$  with  $P \leq Q$ 

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**Corollary:** if [P,Q] is an interval, deleting the last peak of P and the last peak of Q gives a new interval.



Conversely, starting from an interval [P,Q] with final peaks at heights a  $\leq$  b, adding peaks in P and Q at heights a' and b' gives an interval iff...

•  $1 \le a' \le a+1$ ,  $1 \le b' \le b+1$ 



- $1 \le a' \le a+1$ ,  $1 \le b' \le b+1$
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Bijection intervals of size n ≈ quadrant walks of length n-1 starting from (0,0) ≈ quadrant walks of length n starting and ending at (0,0)



• Let Q(t;x,y)=Q(x,y) be the GF of the associated quadrant walks:

$$Q(x,y) = \sum_{w} t^{|w|} x^{i(w)} y^{j(w)}.$$

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$$Q(x,y) = 1 + txQ(x,y) + ty^{2} \frac{xQ(x,y) - yQ(y,y)}{(x-y)(y-1)} - t \frac{xQ(x,1) - Q(1,1)}{(x-1)(y-1)}.$$

#### A functional equation with two catalytic variables

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Thm. Ascent intervals have an algebraic GF, namely  $G=Z(1-2Z+2Z^3), \quad \text{where} \quad Z=t(1+Z)(1+2Z)^2.$ 

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Asymptotics:

$$g(n) \sim \kappa \mu^n n^{-7/2}$$
, with  $\mu = \frac{11 + 5\sqrt{5}}{2}$ .

# IV. m-Dyck paths, and mirrored m-Dyck paths

#### m-Dyck paths and mirrored m-Dyck paths

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→ Study the order induced by the ascent order on m-Dyck paths and mirrored m-Dyck paths.

## m-Dyck paths



#### m-Dyck paths



m-Dyck paths form an interval in the ascent lattice A<sub>mn</sub>, with min = (U<sup>m</sup>D<sup>m</sup>)<sup>n</sup> max = U<sup>mn</sup> D<sup>mn</sup>.

In particular, it is a lattice.

### Mirrored m-Dyck paths

Mirrored m-Dyck paths only form a join semi-lattice.



#### What about intervals?

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#### Intervals in m-Dyck paths:

• Stanley lattice: D-finite GF (i.e., linear DE with pol. coeffs)

 $\frac{2(m+2)((m+1)n)!((m+1)(n+1))!}{n!(n+1)!(mn+2)!(m(n+2)+2)!}$ 

• Tamari lattice: algebraic GF [mbm, Fusy, Préville-Ratelle 11]

$$\frac{m+1}{n(mn+1)}\binom{(m+1)^2n+m}{n-1}$$

Conj: Bergeron, Préville-Ratelle

Greedy Tamari lattice: algebraic GF

[mbm, Chapoton 24]

$$\frac{(m+2)(m+1)^{n-1}}{(mn+1)(mn+2)}\binom{(m+1)n}{n}.$$

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# V. Connection with the sylvester congruence

[Hivert, Novelli, Thibon 05]



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• m=1: number of sylvester classes of 1-multiparking functions

Search: <b>seq</b> :	1,3,13,69,417,2759 id:243688	
Displaying 1	-1 of 1 result found.	ł
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OFFSET	1,2	
COMMENTS	See Novelli-Thibon (2014) for precise definition.	
LINKS	Table of n, a(n) for n=16. JC. Novelli, JY. Thibon, <u>Hopf Algebras of m-permutations, (m+1)-ary trees, and m-parking functions</u> arXiv preprint arXiv:1403.5962, 2014. See Fig. 26.	5,
KEYWORD	nonn,more	
AUTHOR	<u>N. J. A. Sloane</u> , Jun 14 2014	
STATUS	approved	

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- m=1: number of sylvester classes of 1-multiparking functions
- m=2: number of sylvester classes of 2-multiparking functions

#### Search: seq:1,5,40,407,4797 id:243671

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Sort: relevand	e   <u>references</u>   <u>number</u>   <u>modified</u>   <u>created</u> Format: long   <u>short</u>   <u>data</u>	
A243671 N	umber of Sylvester classes of 2-parking functions of length n.	
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LINKS	<u>Table of n, a(n) for n=15.</u> JC. Novelli, JY. Thibon, <u>Hopf Algebras of m-permutations, (m+1)-ary trees, and m-parking functions</u> , preprint arXiv:1403.5962, 2014. See Fig. 21.	
KEYWORD	nonn,more	
AUTHOR	<u>N. J. A. Sloane</u> , Jun 14 2014	
STATUS	approved	

**Observation**: for m=1, 2, ..., 5, the sequence  $\overline{g}_m(n)$  that counts intervals of mirrored m-Dyck paths appears in the OEIS.

- m=1: number of sylvester classes of 1-multiparking functions
- m=2: number of sylvester classes of 2-multiparking functions

and so on.

Gearch: <b>seq:1,5,40,407,4797 id:243671</b>
Displaying 1-1 of 1 result found.
Sort: relevance   <u>references</u>   <u>number</u>   <u>modified</u>   <u>created</u> Format: long   <u>short</u>   <u>data</u>
<u>A243671</u> Number of Sylvester classes of 2-parking functions of length n.
1, 5, 40, 407, 4797 ( <u>list; graph; refs; listen; history; text; internal format</u> )
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- $\bullet$  Defined on words on the alphabet  $\mathbb Z$
- Generated by commutation relations:

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ac \cdots b \equiv ca \cdots b, \quad a \leq b < c.
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• Class representatives: words avoiding **aba** and **acb** (as subwords), called **sylvester words**.

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 $42533 \rightarrow 45233$ , sylvester word

## The Nadeau-Tewari lattice

**Def.** Let  $u=(u_1, ..., u_n)$  and  $v=(v_1, ..., v_n)$  be two nonincreasing sequences of integers. Then  $u \leq v$  for the NT order if

- u lies below v ( $u_i \leq v_i$ )
- every descent of v is a descent of u.

v = (10, 10, 10, 10, 9, 4)u = (10, 10, 8, 8, 7, 3)



[2024]

**Example.** Fix n=6 and a sylvester word w on the alphabet {1, 2, ..., n}, containing the letter 1, say w = **32** 2 2 2 5 **1**115.

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Let  $w_1 = Nlnc(w) = 5532222111$  be its nonincreasing reordering.

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- Write  $w_1$  and  $w_2$  vertically as follows:
- Complete with n=6 horizontal steps to form two ES paths.
- The horizontal words **u = 10 10 8 8 7 3** and **v = 10 10 10 10 9 4**, of length n=6, form an interval in the Nadeau-Tewari lattice.



**Proposition.** For any n, this construction gives a bijection between:

- sylvester words w on the alphabet {1, 2, ..., n} containing the letter 1, and
- intervals [u,v] in the NT lattice, such that u and v have length n, positive entries and the same first letter.

Example

For n=6 and w = 3222251115, we have u= 10 10 8 8 7 3 and v= 10 10 10 9 4.

![](_page_92_Figure_6.jpeg)

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Example For n=6 and w = 3222251115, we have u= 10108873 and v= 1010101094.

Conversely?

![](_page_93_Figure_6.jpeg)

#### Conversely?

• Starting from u = 10108873 and v = 10101094, recover the vertical words  $w_1 = 5532222111$  and  $w_2 = 3222221111$ :

![](_page_94_Figure_3.jpeg)

• Starting from u = 10108873 and v = 10101094, recover the vertical words  $w_1 = 5532222111$  and  $w_2 = 3222221111$ :

same length (10), contain 1,  $w_2$  is less than  $w_1$  (componentwise)  $alph(w_2) \subset alph(w_1)$ .

![](_page_95_Figure_4.jpeg)

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• Recover w from  $w_1$  = NInc(w) and  $w_2$  = LRMin(w)?

w = \_ \_ \_ \_ \_ \_ \_ \_ \_

![](_page_96_Figure_7.jpeg)

• Starting from u = 10108873 and v = 10101094, recover the vertical words  $w_1 = 5532222111$  and  $w_2 = 3222221111$ :

```
same length (10),
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```

```
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```

```
lett(w) = \{553222111\}
```

```
w = _ _ _ _ _ _ _ _ _
```

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```

```
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w = **32**\_\_\_l\_\_l\_\_\_ non-dec.

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```
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```

 $w = 3222251_{--}$ 

non-dec.

• Starting from  $u = 10\ 10\ 8\ 8\ 7\ 3$  and  $v = 10\ 10\ 10\ 10\ 9\ 4$ , recover the vertical words  $w_1 = 5\ 5\ 3\ 2\ 2\ 2\ 11\ 11$  and  $w_2 = 3\ 2\ 2\ 2\ 2\ 11\ 11$ :

```
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```

```
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lett(w) = \{ 5 5 3 2 2 2 1 1 \} \}
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#### Specializations: bijections between

- positive sylvester words w of length mn such that  $N(w) \le n^m (n-1)^m \dots 2^m 1^m$  and ascent intervals of m-Dyck paths of length mn
- positive sylvester words w of length n such that Nlnc(w) ≤ ((n-1)m+1) ... (2m+1) (m+1) 1 and ascent intervals of mirrored m-Dyck paths of length mn.

## **VI. Final remarks**

#### Final remarks

• Combinatorial proof for the number/GF of ascent intervals? (m=1)  $(n+4)(2n+7)g(n+2) = 2(11n^2 + 44n + 42)g(n+1) + n(2n+1)g(n)$
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A symmetric joint distribution on ascent intervals [P,Q] (m=1):
 a(P) = length of the first ascent of P
 r(P,Q) = number of ascents of P before the first descent of Q

**Example**. a(P)=1, r(P,Q)=2

(Non-recursive) **bijection**?



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• Study mirrored m-Dyck paths in other Dyck lattices: intervals?

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# m-Dyck paths and mirrored m-Dyck paths



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